08-13555-mg Doc 19912 Filed 09/13/11 Entered 09/13/11 15:42:26 Main Document Pg 1 of 10

SOUTHERN DISTRICT OF NEW YORK	X	
•	:	an
In re	:	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	: :	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
	:	D C D 1 (N 10500 10505
	Х	Ref. Docket Nos. 19732, 19735-
		19738, 19741-19743, 19746, 19747,
		19749, 19750, 19752, 19754, 19759,
		19760, 19762-19766, 19768, 19769,
		19771-19774 19779

### **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK	)
	) ss.:
COUNTY OF NEW YORK	)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

- 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On September 9, 2011, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this 13<sup>th</sup> day of September, 2011 /s/ Cassandra Murray

Notary Public, State of New York No. 01MU6220179 Qualified in Queens County Commission Expires April 12, 2014

# **EXHIBIT A**

08-13555-mg Doc 19912 Filed 09/13/11 Entered 09/13/11 15:42:26 Main Document Pq 3 of 10 Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No. 08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, transferor refers to the claimant who is selling or otherwise assigning its claim, while transferee refers to the party who is purchasing or otherwise being assigned the claim.

To: AMERICAN TRADING & PRODUCTION CO (ATAPCO) ATTN: HENRY KOETHER 10 EAST BALTIMORE ST. SUITE 1600 BALTIMORE MD 21202

Please note that your claim # 19234 in the above referenced case and in the amount of \$33,697,302.30 Unliquidated has been transferred (unless previously expunged by court order)

> MERRILL LYNCH CREDIT PRODUCTS, LLC TRANSFEROR: AMERICAN TRADING & PRODUCTION CO (ATAPCO) ATTN: JEFFREY BENESH AND GARY S. COHEN BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

> UNITED STATES BANKRUPTCY COURT Southern District of New York One Bowling Green New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 19752 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 09/09/2011

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on September 9, 2011.

## **EXHIBIT B**

PAGE:

# LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

Name	- 1
AMERICAN TRADING & PRODUCTION CO (ATAPCO)	ATIN: HENRY KOETHER 10 EAST BALTIMORE ST. SUITE 1600 BALTIMORE MD 21202
AMERICAN TRADING AND PRODUCTION CORP. BANK JULIUS BAER & CO. LTD.	C/O ANDREW J. CURRIE, ESQ. VENABLE LLP 750 E. PRATT STREET, SUITE 900 BALTIMORE MD 21202 BANK JULIUS BARR & CO. 1.TD. P. O. ROX ZIDICH CH-8010 SWITFFDIAND
BANK JULIUS BAER & CO. LID.	4 [
BANK JULIUS BAER & CO. LTD. BLAND LUI PAK PING P	TRANSFEROR: UBS AG ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH 8010 SWITZERLAND 2/F. NO. 100 LOT 262 DD219 HING RENG SHEK SAT KING HONG YONG
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CQS CAPITAL STRUCTURE ARBITRAGE MASTER FUND LIMITED	CQS (UK) LLP ATTN: HEAD OF LEGAL 5TH FLOOR 33 GROSVENOR PLACE LONDON SW1X 7HY UNITED KINGDOM
CQS CAPITAL STRUCTURE ARBITRAGE MASTER FUND LIMITED	CQS CLAIMS GROUP/ MS. WENDY KANE CADWALADER, WICKERSHAM & TAFT LLP ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
CQS CONVERTABLE AND QUANTITATIVE STRATEGIES MASTER FUND LIMITED	C/O CQS (UK) LLP ATIN: HEAD OF LEGAL 5TH FLOOR 33 GROSVENOR PLACE LONDON SW1X 7HY UNITED KINGDOM
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6/F COMFORT MANSION 59B WONG NEI CHUNG RD HAPPY VALLEY HK

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LEHMAN BROTHERS HOLDING INC.

TIME: 18:16:56

CREDITOR LISTING

### TRANSFEROR: CQS DIRECTIONAL OPPORTUNITIES MASTER FUND LIMITED C/O CARVAL INVESTORS UK LIMITED KNOWLE HILL PARK, FAIRMILE LANE, TRANSFEROR: MIZUHO SECURITIES CO LID C/O DEUTSCHE BANK AG, HONG KONG BRANCH ATIN: JACK ISAI 60/F INTERNATIONAL COMMERCE CENTRE TRANSFEROR: WHITEBOX CONVERTIBLE ARBITRAGE PARTNERS, LP ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER CQS ASIA MASTER FUND LIMITED C/O CARVAL INVESTORS UK LIMITED KNOWLE HILL PARK FAIRMILE LANE COBHAM CQS ABS MASTER FUND LIMITED C/O CARVAL INVESTORS UK LIMITED KNOWLE HILL PARK FAIRMILE LANE COBHAM COS CONVERTABLE AND QUANTITATIVE STRATEGIES MASTER FUND LIMITED; C/O CARVAL INVESTORS UK LIMITED CQS CONVERTIBLE AND QUANTITATIVE STRATEGIES MASTER FUND LIMITED; C/O CARVAL INVESTORS UK LIMITED UK LIMITED CHINA CQS CAPITAL STRUCTURE ARBITRAGE MASTER FUND LIMITED C/O CARVAL INVESTORS 3 HALJUNG 16TH STREET COUNTRY BI GUI GARDEN BEILJIAO TOWN SHUNDE GUANGDONG KT11 2PD UNITED KINGDOM PARK, FAIRMILE LANE, COBHAM SURREY KT11 2PD UNITED KINGDOM KNOWLE HILL PARK, FAIRMILE LANE, COBHAM SURREY KT11 2PD UNITED KINGDOM HONG KONG 1/F FRONT PORTION 146A WATERLOO ROAD KOWLOON TONG HONG KONG PARK, FAIRMILE LANE, COBHAM SURREY FLT H 17/F BLOCK 3 UPTOWN PLAZA TAI PO NT AUSTIN ROAD WEST, KOWLOON HONG KONG SURREY KT11 2PD UNITED KINGDOM LONDON EC2N 2DB UNITED KINGDOM TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) DEUTSCHE BANK AG, LONDON BRANCH CHEUNG KAM / CHU, CHO MING CVI GVF (LUX) MASTER S.A.R.L. S.A.R.L. S.A.R.L. (LUX) MASTER S.A.R.L. (LUX) MASTER S.A.R.L. FENG JIANMING / SU XIANGDONG MASTER MASTER MASTER (LUX) (LUX) (LUX) Name CVI GVF CVI GVF GVF CVI GVF CVI GVF

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LEUNG KAM, FAN

KWOK YUK YING / SIN KWAI WING

LAI LAI PING

LAI SHIAO BUN LAU YIM HA LEE MAN FAI WILLIAM

JPMORGAN CHASE BANK, N.A.

INVEST BANCA SPA INVEST BANCA SPA KING, TSANG WAI

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> LLC LLC

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TRANSFEROR: AMERICAN TRADING & PRODUCTION CO (ATAPCO) ATTN: JEFFREY BENESH AND GARY S. COHEN BANK OF AMERICA TOWER - 3RD FLOOR TRANSFEROR: AMERICAN TRADING AND PRODUCTION CORP. ATTN: JEFFREY BENESH AND GARY S. COHEN BANK AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036 PARK NEW YORK NY 10036 ONE BRYANT

CREDIT TRADING & DERIVATIVE PRODUCTS DEPARTMENT; K. TANAKA OTEMACHI FIRST SQUARE 1-5-1, OTEMACHI 180 MAIDEN LANE NEW YORK NY 10038 STROOCK & LAVAN LLP ATTENTION: SHERRY MILLMAN ESQ. 100-0004 JAPAN TOKYO CHIYODA-KU,

HONG KONG FLAT A114/F BLOCK A WILSHIRE TOWERS 200 TIN HAU TEMPLE ROAD NORTH POINT BINGHAM MCCUTCHEN LLP ATTN: JOSHUA DORCHAK NEW YORK NY 10022 ATTN: MAUREEN CRONIN 51 MADISON AVENUE NEW YORK NY 10010

NEW YORK LIFE INSURANCE COMPANY NEW YORK LIFE INSURANCE COMPANY

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LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

TIME: 18:16:56 DATE: 09/09/11

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LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

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	TRANSFEROR: LEUNG SIK FU 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LI WOOD YEE GRACE 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LI YUK LIN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LIN MEI YI 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LIU, JINYING 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LO, CHER / LIM YEUNG, HOW 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: LONG WAI KWOK 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	HONG KONG HONG	TRANSFEROR: LUK KOON LING 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: NG MEI MUI 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: PUNG FOOK YUEN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: SIT YIU, LEE 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: SIU KAM, WANG 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: SIU, OI LING OLIVIA 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TAM SZE, HUNG 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TAN WEE OON, VIVIEN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TAN, HOCK ANN & CHAI, CHUI LIN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TANG SAU TSUN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TANG SING POR 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TO FUNG WAN 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TO KAM MEI / ISE KING YUNG 27/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TSANG HO YIN KRIS 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TSUI KING YIU, THOMAS 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: TUNG OI FUNG 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: WONG SIU TING 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: YAM CHI, LAI 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: YANG CHUNFANG 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG	TRANSFEROR: YUEN KING CHUNG 21/F, STANDARD CHARTERED TOWER 388 KWUN TONG ROAD HONG KONG HONG KONG
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LEHMAN BROTHERS HOLDING INC.

CHARTERED BANK (HONG	: CHAN BICK SAI
STANDARD CHARTERED BANK (HONG KONG) LTD. STANDARD CHARTERED BANK (HONG KONG) LTD.	KONG HONG
CHARTERED BANK (HONG KONG)	: CHAN
CHARTERED BANK (HONG KONG)	: CHAN
CHARTERED BANK (HONG KONG)	: CHAN WING HAN, WINNIE 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG
CHARTERED BANK (HONG KONG)	: CHAN
CHARTEKED BANK (HONG KONG)	CHEN
STANDARD CHARTERED BANK (HONG KONG) LID.	TRANSFEROM: CHENG HIN KWAN DENISE 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG TRANSFEROM: CHENG TETING WITH 21/F STANDARD CHENGE TO THE TOWN TO BE A TOWN TONG THE TOWN TONG THE TOWN TONG THE TOWN TONG THE TOWN TO THE TOW
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STANDARD CHARTERED BANK (HONG KONG) LTD.	TRANSFEROR: CHEUNG SHUK HAN, VICTORIA 21/F STANDARD CHARTERED TOWNER ARR KWITH THOUR FORME HOME FORME
STANDARD CHARTERED BANK (HONG KONG) LTD.	WA FUNG 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED BANK (HONG KONG)	TRANSFEROR: CHIU KA WAH 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED	TRANSFEROR: CHONG KOK CHEUN/NG SIU PING 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED BANK (HONG KONG)	TRANSFEROR: CHUI YEE HAR/CHUI FOOK WING CHRISTOPHER 21/F STANDARD CHARFTERED TOWER 388. KWUN TONG ROAD HONG KONG
CHARTERED BANK (HONG KONG)	HONG
CHARTERED BANK (HONG KONG)	TRANSFEROR: CUI HEPING / LI JINHUA 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED BANK (HONG KONG)	: FAI,
CHARTERED BANK	TRANSFEROR: KWOK YUK YING / SIN KWAI WING 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED BANK (HONG KONG)	: LAU Y
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CHARTERED BANK (HONG KONG)	: LIU C
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CHARTERED BANK (HONG KONG)	: QU TIE YAN TINA 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONC
CHARTERED BANK (HONG KONG)	WALTE
CHARTERED BANK (HONG KONG)	TRANSFEROR: WONG, WAI FAN/TANG, LIN SHEK 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG HONG KONG
CHARTERED BANK (HONG KONG)	YUEN NGAI PING/ CHOI YIN PING 21/F STANDARD CHARTERED TOWER 388, KWUN TONG ROAD HONG KONG
DARD	
TAM SZE, HUNG	YUNG WA HOUSE YUNG SHING COURT FANLING NT HONG KONG
WEE OON, VIVIEN	66 GREENHAVEN DRIVE PENANT HILLS NSW 2120 AUSTRALIA
	NO.34 JIN 5/1 F 47610 SUBANG JAYA SELANGOR MALAYSIA
TANG DUB	ŀ

TRANSFEROR: NEW YORK LIFE INSURANCE COMPANY ATTN: FRANCESCA SENA 600 WASHINGTON BLVD STAMFORD CT 06901 TRANSFEROR: NEW YORK LIFE INSURANCE COMPANY ATTN: FRANCESCA SENA 600 WASHINGTON BLVD. STAMFORD CT 06901 HONG KONG HONG KONG NT HONG KONG ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND ROOOM 1009 10/F FU HANG INDUSTRIAL BUILDING 1 HOK YUEN STREET EAST HUNG HOM C/O JOHN WOOD 3033 EXCELSIOR BLVD SUITE 300 MINNEAPOLIS MN 55416 HONG KONG JAPAN FLAT C 18/F BLOCK 1 ONE SILVERSEA 18 HOI FAI ROAD TAI KOK TSUI, KLN DAVID HOYT ANDREWS KURTH LLP 450 LEXINGTON AVENUE NEW YORK NY 10017 HONG KONG FLAT B1 20/F HILTON PLAZA SHATIN NEW TERRITORIES HONG KONG FLAT A 13/F KUI WANG MANSION 3 HOK YU LANE HOMANTIN KOWLOON HOR FLAT B 13/F RIVERA MANSION 2-2A HOI WAN ST QUARRY BAY HONG KONG FLT C 38/F TOWER 2 GOODRICH GDN NO. 9 LEUNG TAK STREET TUEN MUN 420-0011 36 3 CHO-ME ANZAI AOI-KU SHIZOUKA-CITY, SHIZOUKA-KEN BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND

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FLAT F 9/F TOWER 2 ISLAND RESORT NO. 28 SIU SAI WAN ROAD CHAI WAN HK HONG KONG
12/F 9 EMMA AVENUE HOMANTIN KOWLOON HONG KONG
ROOM 1101 NO.5B MEI DOH XIAN DAI CHENG WU KANG ZHENG DE QING YUAN ZHEJIANG SHENG 313200 CHINA FAEGRE & BENSON LLP RE: WHITEBOX CONVERTIBLE ARBITRAGE PARTNERS, LP C/O MICHAEL F. DOTY 2200 WELLS FARGO CENTER 90 SOUTH SEVENTH STREET MINNEAPOLLS MN 55402 WHITEBOX CONVERTIBLE ARBITRAGE PARTNERS,

WHITEBOX CONVERTIBLE ARBITRAGE PARTNERS,

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TANG SAU TSUN FANG SING POR TO FUNG WAN TO KAM MEI / TSE KING YUNG

TSUI KING YIU, THOMAS ISANG HO YIN KRIS

TUNG OI FUNG UBS AG ΙI FUND

WILSHIRE INSTITUTIONAL MASTER

- WILSHIRE ORE HILL

WONG SIU TING

YAM CHI, LAI

WAI FAN/TANG, LIN SHEK

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